

UTILITY PATENT APPLICATION TRANSMITTAL Under Small Entity Status (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. <u>HLAVP001D1</u>
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Edwin J. Hlavka, entitled METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY, for a(n):

- () Original Patent Application.
- (X) Continuing Application (prior application not abandoned):
 () Continuation (X) Divisional () Continuation-in-part (CIP)
 of prior Application No. 09/841,968, filed April 24, 2001.
- (X) Please insert (or replace the previous claim of priority) after the title of the application "This is a
 () Continuation (X) Divisional () Continuation-in-part (CIP)
 of Application No. 09/841,968, filed April 24, 2001, which is hereby incorporated by reference."
- () This application claims the benefit of U.S. Provisional Application
 No. _____, filed _____.

Enclosed are:

- (X) Specification; 34 Total Pages. (X) Drawing(s); 15 Total Sheets.
- (X) Oath or Declaration:
 () A Newly Executed Combined Declaration and Power of Attorney:
 () Signed. () Unsigned. () Partially Signed.
- (X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
 () Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- () Power of Attorney. (X) Return Receipt Postcard.
- () Associate Power of Attorney. (X) A Check in the amount of \$375.00 for the Filing Fee.
- (X) Preliminary Amendment. (X) Information Disclosure Statement and Form PTO-

1449.

- () A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- () A Certified Copy of Priority Documents (if foreign priority is claimed).
- () Assignment and Assignment Recordation Cover Sheet
- () Statement(s) of Status as a Small Entity.
- () Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- () Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	10	0	\$9.00	\$ 0.00
Independent Claims	2	0	\$42.00	\$ 0.00
Basic Filing Fee				\$375.00
			Total Filing Fee	\$ 375.00

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account No. 50-1652 (Order No. HLAVP001D1).

Respectfully submitted,

By: Peggy A. Su
 Peggy A. Su, Reg. No. 41,336

Date: July 18, 2003

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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